

Regional Organization for the Conservation of Environment of the Red Sea and Gulf of Aden
(PERSGA)

Project Name:
Sustainable Fishery Development in Red Sea and Gulf of Aden (SFISH)

Project Number P178143

**Status assessments, developing action plans and promoting capacities for management
of IUU fishing, fish spawning aggregations and fishery by catch in PERSGA region.**

PERSGA NPOA-IUU FISHING DRAFTING GUIDELINE

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WORLD BANK GROUP
Environment, Natural Resources & Blue Economy

CITATION

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Cover illustration: PERSGA Region Fishing Vessels/Landing Site locations. A.R. Dawson Shepherd/Google Earth 2018-2020



Please do not print unnecessarily and use paper and ink responsibly.

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UPDATES LOG

Version	Revision	Change	By	Date
1.0	First draft	First draft	Dawson Shepherd, A	15/09/2024
2.0	Second draft	Minor changes	Dawson Shepherd, A	30/09/2024
3.0	Third draft	Additional Actions in Annex 3 etc.	Dawson Shepherd, A	11/10/2024

Note: Any superscript number prefixed by DID ^(DID???) provides the unique documentation identification reference number that can be used to search for the reference in the relevant SFISH report references section. When searching for a reference using the DID number do not prefix with DID since the number is not prefixed with DID in the references index.

See the Acronyms at ANNEX 1.

ACKNOWLEDGEMENTS

I should like to thank Dr Ahmed Khalil Client coordinator for the SFISH Project, the national IUU fishing Consultants, and the National Authorities from the 7 SFISH participating countries of Djibouti, Egypt, Jordan, Saudi Arabia, Somalia, Sudan, and Yemen for their efforts in support of the development of this PERSGA NPOA-IUU drafting guideline.

I should also like to acknowledge and thank the many IUU fishing stakeholders who freely gave of their time and knowledge in support of this PERSGA NPOA-IUU drafting guideline.

Ex. EXECUTIVE SUMMARY

Ex.01 Introduction

For the purposes of the PERSGA SFISH IUU fishing sub-component ^(DID5265) the Regional Organization for the Conservation of the Environment of the Red Sea and Gulf of Aden (PERSGA) (the “Organization”) is a Regional Fisheries Body (RFB)^{1,2} and substitutes for the term Regional Fisheries Management Organization (RFMO) specified in the Jeddah Convention Fisheries and Aquaculture draft Protocol ^(DID2569) and in the UN FAO International Plan of Action for Illegal, Unreported and Unregulated fishing (IPOA-IUU) ^(DID3020).

01. It needs to be appreciated, in respect of food security, that supply/consumption of fish is not high in the PERSGA region. Consumption ranges, for the 7 countries, from a country per capita average of 0.9kg/year to 16.7kg/year (median 4.56kg/year) ^(DID2793, DID2909, DID2792, DID5059, DID5061, DID2787, DID2786).
02. Of course, these figures should not detract from valuing much higher levels of consumption of wild caught seafood in certain local communities, the potential for higher consumption³, the cultural significance of seafood, the wider ecosystem goods and services provided by healthy fish populations including as a tourist attraction, the legal and moral requirement to deliver sustainable use, and the risks from climate change.
03. This Guideline supports the development of **National** Plans of Action to address Illegal, Unreported, and Unregulated, fishing, hereinafter referred to as “**PERSGA NPOA-IUU**”⁴ for Jeddah Convention “Contracting Parties”. This “**PERSGA NPOA-IUU**” Guideline aims to help develop “**PERSGA NPOA-IUU**” in compliance with the PERSGA Regional Plan of Action to address Illegal, Unreported, and Unregulated, fishing, hereinafter referred to as **PERSGA RPOA-IUU**⁵ ^(DID5259).
04. Justification for the development of “**PERSGA NPOA-IUU**” includes:
 01. The UN FAO International Plan of Action for Illegal, Unreported and Unregulated Fishing ^(DID3020), hereinafter referred to as “**IPOA-IUU**” specifying the requirement for NPOA-IUU ^(DID3020, Articles 25-27).

¹ <https://www.fao.org/fishery/en/rfb>

² PERSGA has a General Secretariat (coordinating and facilitating) function and not a management delivery function. Proposed management actions need to be agreed by the Jeddah Convention Council, and once agreed, are to be implemented by the Contracting Parties.

³ Estimated Maximum sustainable yields for living marine resources in the PERSGA region vary widely, are relatively qualified, and cannot be assumed to be accurate for planning purposes.

⁴ NPOA-IUU is prefixed with “PERSGA” because Saudi Arabia, Somalia, and Sudan have maritime waters outside the geographical coverage of the Jeddah Convention ^(DID2557, Jeddah Convention, Article II).

⁵ RPOA-IUU is prefixed with “PERSGA” because Saudi Arabia, Somalia, and Sudan have maritime waters outside the geographical coverage of the Jeddah Convention ^(DID2557, Jeddah Convention, Article II).

02. the draft Jeddah Convention Fisheries and Aquaculture Protocol specifying the requirement for NPOA-IUU ^(DID2569, Article 11.2).
03. the intermediate result indicator specified in the results framework of the SFISH Project Appraisal Document (PAD) ^(DID4887) “*Regional plan of action prepared and adopted for IUU fishing management in RSGA*”. NPOA-IUU are implicit in delivery of any RPOA-IUU.
04. the draft final “*PERSGA RPOA-IUU*” which specifies “*PERSGA NPOA-IUU*” adoption as an Action ^(DID5259, IV.02.11).

Ex.02 Actions

05. The PERSGA region IUU Fishing Assessment ^(DID5207) signals that the current level of IUU fishing management is very limited and with few good practice examples.
06. An initial **52** proposed recommendations, identified through the PERSGA Region IUU fishing Assessment ^(DID5207), comprise the proposed “*PERSGA RPOA-IUU*” **Actions** to be considered for inclusion in “*PERSGA NPOA-IUU*”. The recommendations were presented for direction by the 7 Country IUU assessment consultants. There was general support for most of the proposed recommendations ^(DID5207, Annex 6).
07. An additional two Actions were subsequently specified relating to: (1) ByCatch and Discards and; (2) Fisheries Statistics and Stock Assessment. This makes a total of 54 Actions.
08. **Two** priority operational Actions from the 54 proposed for each “*PERSGA NPOA-IUU*” are⁶:
 - (1) “*Fishing License for All Fishers*” (Annex 3. IV.07.02) including licensing conditions
 - (2) “*Fishing License for All fishing Vessels*” (Annex 3. IV.08.02) including licensing conditions
09. These two priority operational Actions should be supported by **nine** priority operational support Actions:
 - (1) “*Funding for IUU Fishing Management*” (Annex 3. IV.02.07)⁷
 - (2) “*Stakeholder Governance/Grievance*” (Annex 3. IV.02.19)
 - (3) “*Database IUU Fishing (Regional)*” (Annex 3. IV.02.06)
 - (4) “*Capacity Building (National)*” (Annex 3. IV.02.01)

⁶ See the PERSGA RPOA-IUU” (DID5259) Section IV for details of each proposed Action

⁷ The extent to which the “*PERSGA NPOA-IUU*”, and the parent “*PERSGA RPOA-IUU*”, can be delivered depends on the availability of funding for delivery.

- (5) “*Capacity Building (Regional)*” (Annex 3. IV.02.02)
- (6) “*Delivery of Actions (National)*” (Annex 3. IV.02.04)
- (7) “*Delivery of Actions (Regional)*” (Annex 3. IV.02.05)
- (8) “*Executive Regulations for IUU Fishing*” at the national level (Annex 3. IV.04.02)
- (9) “*Service Delivery Targets*” (Annex 3. IV.02.18)

Note : “*port State Measures – Infrastructure*” – Annex 3.IV.05.01 is additionally included in the list of priority Actions for LDCs presented in Section III below “*Special Requirements of Developing Countries*”.

10. Further details on all 54 Actions, including the key Actions listed above can be found in the draft PERSGA RPOA-IUU ^(DID5259). It is proposed that these 54 proposed Actions are considered when developing each “*PERSGA NPOA-IUU*”. This is to ensure consistency in developing “*PERSGA NPOA-IUU*”, and compliance with, the proposed “*PERSGA RPOA-IUU*”.
11. A budget template for “*PERSGA NPOA-IUU*” Planning is provided at Annex 3 of this Guideline. The template is also available as an excel file. The indicative budget in the proposed “*PERSGA RPOA-IUU*” can be used to support “*PERSGA NPOA-IUU*” Action Plan budgeting.
12. An indicative table of contents for “*PERSGA NPOA-IUU*” planning follows the table of contents of the draft PERSGA RPO-IUU ^(DID5259).
13. Proposed “*PERSGA RPOA-IUU*” and “*PERSGA NPOA-IUU*” Actions, are presented under seven themes based on those used in the regional assessment process ^(DID5207). The seven⁸ themes (numbering as per Annex 3 of this Drafting Guideline) are:

Annex 3.IV.02 : coastal State Measures (cSM) covering IUU fishing cross-cutting matters (21 Actions).

Annex 3.IV.03 : International Legal Instruments covering gaps in the international legal framework for addressing IUU fishing (12 Actions).

Annex 3.IV.04 : National Legal Instruments covering gaps in the national legal frameworks of “*Contracting Parties*” for addressing IUU fishing (3 Actions).

Annex 3.IV.05 : port State Measures (pSM) relating to shore facilities improvements in “*Contracting Parties*” (5 Actions).

Annex 3.IV.06 : coastal State Measures (cSM) relating to the management of Spawning Aggregations (SPAG) in the maritime waters of “*Contracting Parties*” (5 Actions).

⁸ **Note**: Annex 3.IV.01 provides the introduction and is not an Action theme.

Annex 3.IV.07 : coastal State Measures (cSM) relating to the fishing licensing of individual fishers (4 Actions).

Annex 3.IV.08 : flag State Responsibilities (fSR) relating to the fishing licensing of fishing vessels (4 Actions).

14. To the extent possible, the Guideline follows the “*IPOA-IUU*” principles and structure reflecting phased implementation ^(DID3020, Article 9.2) and the special requirements of developing Countries ^(DID3020, Section 5). “*Contracting Parties*” Djibouti, Somalia, Sudan and Yemen are identified as Least Developed Countries (LDCs)⁹.
15. The proposed “*PERSGA RPOA-IUU*”, and “*PERSGA NPOA-IUU*” Actions are expected to be delivered over an initial 5 year period.

Ex.03 PERSGA NPOA-IUU drafting

16. The “*PERSGA NPOA-IUU*” drafting process is proposed to be undertaken using:
 01. a set of specified principles (See Section III below)
 02. a specified “*Competent (National) Authority*” for IUU fishing matters, acting as Secretariat to:
 03. a specified “*PERSGA NPOA-IUU*” “*Consultative (National) Committee*” responsible for drafting each “*PERSGA NPOA-IUU*”.
 04. the table at Annex 3 (available as an excel) to develop the Action Plan comprising the “*PERSGA NPOA-IUU*”.
 05. the format at Annex 4 complying with the draft “*PERSGA RPOA-IUU*” format ^(DID5259) against which to draft the “*PERSGA NPOA-IUU*”.

⁹ <https://unctad.org/topic/least-developed-countries/list>

I. INTRODUCTION

For the purposes of the PERSGA SFISH IUU fishing sub-component (DID5265) the Regional Organization for the Conservation of the Environment of the Red Sea and Gulf of Aden (PERSGA) (the “Organization”) is a Regional Fisheries Body (RFB)^{10,11} and substitutes for the term Regional Fisheries Management Organization (RFMO) specified in the Jeddah Convention Fisheries and Aquaculture draft Protocol (DID2569) and in the UN FAO International Plan of Action for Illegal, Unreported and Unregulated fishing (IPOA-IUU) (DID3020).

17. It needs to be appreciated, in respect of food security, that supply/consumption of fish is not high in the PERSGA region. Consumption ranges, for the 7 countries, from a country per capita average of 0.9kg/year to 16.7kg/year (median 4.56kg/year) (DID2793, DID2909, DID2792, DID5059, DID5061, DID2787, DID2786).
18. Of course, these figures should not detract from valuing much higher levels of consumption of wild caught seafood in certain local communities, the potential for higher consumption¹², the cultural significance of seafood, the wider ecosystem goods and services provided by healthy fish populations including as a tourist attraction, the legal and moral requirement to deliver sustainable use, and the risks from climate change.
19. This Guideline supports the development of **National** Plans of Action to address Illegal, Unreported, and Unregulated, fishing, hereinafter referred to as “**PERSGA NPOA-IUU**”¹³ for each Jeddah Convention “Contracting Party” that wishes to do so. This “**PERSGA NPOA-IUU**” Guideline aims to help develop “**PERSGA NPOA-IUU**” in compliance with the PERSGA Regional Plan of Action to address Illegal, Unreported, and Unregulated, fishing, hereinafter referred to as “**PERSGA RPOA-IUU**”¹⁴ (DID5259).
20. Justification for the development of “**PERSGA NPOA-IUU**” includes:
 01. The UN FAO International Plan of Action for Illegal, Unreported and Unregulated Fishing (DID3020), hereinafter referred to as **IPOA-IUU**; specifying the requirement for NPOA-IUU (DID3020, Articles 25-27).

¹⁰ <https://www.fao.org/fishery/en/rfb>

¹¹ PERSGA has a secretariat (coordinating and facilitating) function and not a management delivery function. Proposed management actions need to be agreed by a quorum of the Jeddah Convention member Countries and once agreed, are to be implemented by these Countries.

¹² Estimated Maximum sustainable yields for living marine resources in the PERSGA region vary widely, are relatively qualified, and cannot be assumed to be accurate for planning purposes.

¹³ NPOA-IUU is prefixed with “PERSGA” because Saudi Arabia, Somalia, and Sudan have maritime waters outside the geographical coverage of the Jeddah Convention (DID2557, Jeddah Convention, Article II).

¹⁴ RPOA-IUU is prefixed with “PERSGA” because Saudi Arabia, Somalia, and Sudan have maritime waters outside the geographical coverage of the Jeddah Convention (DID2557, Jeddah Convention, Article II).

02. the draft Jeddah Convention Fisheries and Aquaculture Protocol specifying the requirement for NPOA-IUU (DID2569, Article 11.2).
03. the intermediate result indicator specified in the results framework of the SFISH Project Appraisal Document (PAD) (DID4887) “*Regional plan of action prepared and adopted for IUU fishing management in RSGA*”. NPOA-IUU are implicit in delivery of any RPOA-IUU.
04. the draft “*PERSGA RPOA-IUU*” which specifies NPOA-IUU adoption as an Action (DID5259, IV.02.11).

II. NATURE AND SCOPE

II.01 IUU Fishing

21. **Illegal, Unreported and Unregulated fishing** : have the same meaning in this “*PERSGA RPOA-IUU*” as in the “*IPOA-IUU*” (DID3020). **Illegal** refers to fishing activities that are in breach of national, regional and international fishing laws in a Contracting Party to these laws. **Unreported** refers to fishing that is not reported according to the fishing reporting requirements specified in national, regional, and international laws in a Contracting Party to these laws. **Unregulated** refers to fishing that is not regulated according to the fishing regulating requirements specified in national, regional, and international laws in a Contracting Party to these laws.
22. Unless otherwise indicated this “*PERSGA RPOA-IUU*” is voluntary as is indicated for the “*IPOA-IUU*” (DID3020, paragraph 4).
23. The FAO Code of Conduct for Responsible Fisheries (CCRF), in particular Articles 1.1, 1.2, 3.1, and 3.2 applies to the planning and delivery of each “*PERSGA NPOA-IUU*” and its relationship with other international instruments. This “*PERSGA NPOA-IUU*” is also directed as appropriate towards fishing entities as referred to in the Code of Conduct. This “*PERSGA NPOA-IUU*” responds to fisheries specific issues and nothing in it prejudices the positions of States in other fora.

II.02 Other definitions

24. The following “other” definitions shall apply for the purposes of planning and delivery of each “*PERSGA NPOA-IUU*”.
25. **1982 UN Convention** : The term “*1982 UN Convention*” refers to the United Nations Convention on the Law of the Sea of 10 December 1982.
26. **1993 FAO Compliance Agreement** : The term “*1993 FAO Compliance Agreement*” refers to the Agreement to Promote Compliance with International Conservation and Management Measures by Fishing Vessels on the High Seas, approved by the FAO Conference on 24 November 1993.

27. **1995 UN Fish Stocks Agreement** : The term “1995 UN Fish Stocks Agreement” refers to the Agreement for the Implementation of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks.
28. **Asset** : A specified Law, SHORE FACILITY, Spawning Aggregation, STAKEHOLDER, VESSEL as assessed by a specified Observer on a specified date (DID5207).
29. **ByCatch, By-Catch, By Catch** : “Species taken in a fishery targeting that is targeting on other species or on a different size range of the same species. That part of the by-catch no economic value is discarded and returned to the sea, usually dead or dying” (DID2407).
- “Component of the catch which represents non-targeted fish associated with the catch of the target species or group towards which fishing effort is directed, or other aquatic organisms taken incidentally during the course of fishing (e.g. birds, mammals, reptiles, invertebrates). Some or all of the bycatch may be returned to the sea as discarded catch, either dead or alive. The catch taken incidentally is also referred to as incidental catch” (DID5054).
30. **coastal State** : A State with a maritime territorial sea and exclusive economic zone (EEZ) (after (DID261)). All Contracting Parties are interpreted to be coastal States.
31. **Certification** : As specified in “PERSGA RPOA-IUU” Action IV.02.19 (DID5259) and listed as Annex 3.IV.02.19 below.
32. **Code of Conduct** : The term “Code of Conduct” refers to the FAO Code of Conduct for Responsible Fisheries (DID2571, DID5241).
33. **Committee for the Settlement of Disputes** : One of the three Organs of the “Organization”. The composition, terms of reference and rules of procedure of the “Committee for the Settlement of Disputes” shall be decided by the Council (DID2557).
34. **Competent (National) Authority** : A “Competent (National) Authority” is the Authority, or Authorities, identified in the National Law of a “Contracting Party” as competent in matters relevant to the management of IUU fishing. The “Competent (National) Authority” may not be the “National Authority” (see “National Authority”).
35. **Conservation and Management Measures** : The term “conservation and management measures” means measures to conserve one or more species of living marine resources that are adopted and applied consistent with the relevant rules of international law.
36. **Contracting Party** : A “Contracting Party” is any party that has deposited its instrument of ratification, acceptance, approval or accession to the Jeddah Convention (DID2557, Article XXVII.3, Article XXIX.3)¹⁵.

¹⁵ Djibouti, Egypt, Jordan, Saudi Arabia, Somalia, Sudan and Yemen are currently Contracting Parties.

37. **Council** : One of the three Organs of the “*Organization*”. The “*Council*” is comprised of a representative of each “*Contracting Party*” (DID2557).
38. **Discards** : “*In the context of these Guidelines discards refer to the throwing away or slipping of dead fish and fish that may not survive after live release*” (DID5016)¹⁶.
39. **flag State** : A State that assigns its flag to a maritime entity or activity (after (DID261)). All “*Contracting Parties*” are interpreted to be “*flag States*”.
40. **General Secretariat** : One of the three Organs of the “*Organization*” providing the secretariat to the other two organs (DID2557, Article XVI.2).
41. **Grievance (redress) Mechanism** : To be defined but currently as specified in “*PERSGA RPOA-IUU*” Action IV.02.19 (DID5259) and listed in Annex 3 below.
42. **Internal Waters** : As defined in Article 8 of UNCLOS (DID261): “*1. Except as provided in Part IV, waters on the landward side of the baseline of the territorial sea form part of the internal waters of the State*”. [Part IV of UNCLOS relates to archipelagic states].
43. **International Plan of Action** : The voluntary FAO International Plan of Action to address Illegal, Unreported, and Unregulated Fishing (“*IPOA-IUU*”) (DID3020).
44. **Issue** : One, or more, of the IUU management related “*Issues*” to be assessed for each “*Theme*” and each “*Asset*” under a “*Theme*” (DID5207).
45. **Least Developed Country (LDC)** : As identified through UNCTAD ¹⁷. Djibouti, Somalia, Sudan, and Yemen are identified as LDCs.
46. **National Authority** : The “*National Authority*” designated by each “*Contracting Party*” as responsible for the coordination of national efforts for implementing the Jeddah Convention and its protocols (DID2557, Article 1.7).
47. **Organization** : For the purposes of this “*PERSGA RPOA-IUU*” and “*PERSGA NPOA-IUU*” the “*Organization*”¹⁸ shall be the Regional Organization for the Conservation of the Red Sea and Gulf of Aden Environment (PERSGA) established in accordance with article XVI of the Jeddah Convention (DID2557, Article 1.9).
48. **PERSGA** : The “*Organization*” (the Regional Organization for the Conservation of the Red Sea and Gulf of Aden Environment (PERSGA)) (DID2557, Article 1.9).

¹⁶ The term “Discard” under this PERSGA RPOA-IUU may include living marine resources that are tagged and thrown back.

¹⁷ <https://unctad.org/topic/least-developed-countries/list>

¹⁸ XVI.2. The Organization shall consist of the following organs: (a) A Council comprised of a representative of each Contracting Party; (b) A General Secretariat; (c) A Committee for the Settlement of Disputes whose composition, terms of reference and rules of procedure shall be decided by the Council (DID2557).

49. **PERSGA National Plan of Action (PERSGA NPOA-IUU)** : PERSGA National Plan of Action to address Illegal, Unreported, and Unregulated Fishing (“*PERSGA NPOA-IUU*”) ¹⁹. NPOA-IUU are referred to in the IPOA-IUU (DID3020).
50. **PERSGA NPOA-IUU Consultative (National) Committee** : An inter-ministerial “*PERSGA NPOA-IUU Consultative (National) Committee*” chaired by the Minister of the “*Competent (National) Authority*”, or his/her delegate with members to be specified and including the civil service, the private sector, fisher and other relevant civil society representatives as specified in “*PERSGA RPOA-IUU*” Action IV.02.04 (DID5259) and listed in Annex 3 below.
51. **PERSGA Regional Plan of Action (PERSGA RPOA-IUU)** : The PERSGA Regional Plan of Action for Illegal, Unreported, and Unregulated Fishing “*PERSGA RPOA-IUU*” ²⁰ as implied in the UN FAO IPOA-IUU (DID3020), as required as an Annex to the proposed PERSGA Fisheries and Aquaculture draft Protocol (DID2569, Article 16.1.i.), and the intermediate result indicator specified in the results framework of the SFISH Project Appraisal Document (PAD) (DID4887) and, if agreed, to include the internal waters of “*Contracting Parties*” and the High Seas beyond the national jurisdiction/Exclusive Economic Zone (EEZ) of any “*Contracting Party*”.
52. **PERSGA RPOA-IUU Consultative (Regional) Committee** : A “*PERSGA RPOA-IUU Consultative (Regional) Committee*” to be set up in accordance with the requirements of the Jeddah Convention (DID2557) as specified in “*PERSGA RPOA-IUU*” Action IV.02.05 (DID5259) and listed in Annex 3 below.
53. **port State** : A State with one, or more Ports. “port” includes offshore terminals and other installations for landing, transshipping, packaging, processing, refuelling or resupplying (DID4246, Article 1.(g)). All “*Contracting Parties*” are interpreted to be port States.
54. **Regional Fisheries Body (RFB)** : “*Regional Fishery Bodies (RFB) – a group of States or organizations that are parties to an international fishery arrangement – work together towards the conservation and management of fish stocks*”. “PERSGA” is indicated to be an RFB²¹.
55. **Spawning Aggregation (SPAG)** : “*Spawning Aggregation: is a gathering of animals, at densities higher than during the non-spawning period, in locations and at times solely for the purpose of spawning*” (DID5012).
56. **Theme** : Themes specified in the PERSGA SFISH IUU Assessment Guidelines (DID5161) of which the priority themes addressed by the Assessment are “LEGAL”, “SHORE FACILITY”, “SPAG”, “STAKEHOLDER”, “VESSEL” (DID5207).

¹⁹ NPOA-IUU is prefixed with “PERSGA” because Saudi Arabia, Somalia, and Sudan have maritime waters outside the geographical coverage of the Jeddah Convention (DID2557, Article II).

²⁰ RPOA-IUU is prefixed with “PERSGA” because Saudi Arabia, Somalia, and Sudan have maritime waters outside the geographical coverage of the Jeddah Convention (DID2557, Article II).

²¹ <https://www.fao.org/fishery/en/rfb>

III. OBJECTIVES AND PRINCIPLES

57. The Objectives and Principles to be followed in developing each “PERSGA NPOA-IUU” are those specified in Section III of the “*PERSGA RPOA-IUU*” to which reference should be made for further information ^(DID5259). The Objectives and Principles are as follows:-

01. Participation and coordination
02. Phased implementation
03. Comprehensive and integrated approach
04. Conservation
- 05 Transparency
- 06 Non-discrimination.

IV. PERSGA NPOA-IUU DRAFTING

IV.01 PERSGA NPOA-IUU Introduction

58. The PERSGA region IUU Fishing Assessment ^(DID5207) signals that the current level of IUU fishing management is very limited and with few good practice examples.
59. An initial **52** proposed recommendations, identified through the PERSGA Region IUU fishing Assessment ^(DID5207), comprise the proposed “*PERSGA RPOA-IUU*” **Actions** to be considered for inclusion in “*PERSGA NPOA-IUU*”. The recommendations were presented for direction by the 7 Country IUU assessment consultants. There was general support for most of the proposed recommendations ^(DID5207, Annex 6).
60. An additional two Actions were subsequently specified relating to: (1) ByCatch and Discards and; (2) Fisheries Statistics and Stock Assessment. This makes a total of 54 Actions.
61. **Two** priority operational Actions from the 54 proposed for each “*PERSGA NPOA-IUU*” are²²:
- (1) “*Fishing License for All Fishers*” (Annex 3. IV.07.02) including licensing conditions
 - (2) “*Fishing License for All fishing Vessels*” (Annex 3. IV.08.02) including licensing conditions

²² See the PERSGA RPOA-IUU” ^(DID5259) Section IV for details of each proposed Action

62. These two priority operational Actions should be supported by **nine** priority operational support Actions:

- (1) “*Funding for IUU Fishing Management*” (Annex 3. IV.02.07)²³
- (2) “*Stakeholder Governance/Grievance*” (Annex 3. IV.02.19)
- (3) “*Database IUU Fishing (Regional)*” (Annex 3. IV.02.06)
- (4) “*Capacity Building (National)*” (Annex 3. IV.02.01)
- (5) “*Capacity Building (Regional)*” (Annex 3. IV.02.02)
- (6) “*Delivery of Actions (National)*” (Annex 3. IV.02.04)
- (7) “*Delivery of Actions (Regional)*” (Annex 3. IV.02.05)
- (8) “*Executive Regulations for IUU Fishing*” at the national level (Annex 3. IV.04.02)
- (9) “*Service Delivery Targets*” (Annex 3. IV.02.18)

Note : “*port State Measures – Infrastructure*” – Annex 3.IV.05.01 is additionally included in the list of priority Actions for LDCs presented in Section V below “*Special Requirements of Developing Countries*”.

63. Further details on all 54 Actions, including the key Actions listed above can be found in Section IV of the PERSGA RPOA-IUU (DID5259). It is proposed that these 54 proposed Actions are considered when developing each “*PERSGA NPOA-IUU*”. This is to ensure consistency in developing “*PERSGA NPOA-IUU*”, and compliance with, the proposed “*PERSGA RPOA-IUU*”.
64. A budget template for “*PERSGA NPOA-IUU*” Planning is provided at Annex 3 of this Guideline. The template is also available as an excel file. The indicative budget in the proposed “*PERSGA RPOA-IUU*” can be used to support “*PERSGA NPOA-IUU*” Action Plan budgeting.
65. An indicative table of contents for “*PERSGA NPOA-IUU*” planning follows the table of contents of the draft PERSGA RPOA-IUU (DID5259), and is shown at Annex 4 below.
66. Proposed “*PERSGA RPOA-IUU*” and “*PERSGA NPOA-IUU*” Actions, are presented under seven themes based on those used in the regional assessment process (DID5207). The seven²⁴ themes (numbering as per Annex 3 of this drafting Guideline) are:

Annex 3.IV.02 : coastal State Measures (cSM) covering IUU fishing cross-cutting matters (21 Actions).

²³ The extent to which the “*PERSGA NPOA-IUU*”, and the parent “*PERSGA RPOA-IUU*”, can be delivered depends on the availability of funding for delivery.

²⁴ **Note:** Annex 3.IV.01 provides the introduction and is not an Action theme.

- Annex 3.IV.03 : International Legal Instruments covering gaps in the international legal framework for addressing IUU fishing (12 Actions).
- Annex 3.IV.04 : National Legal Instruments covering gaps in the national legal frameworks of “*Contracting Parties*” for addressing IUU fishing (3 Actions).
- Annex 3.IV.05 : port State Measures (pSM) relating to shore facilities improvements in “*Contracting Parties*” (5 Actions).
- Annex 3.IV.06 : coastal State Measures (cSM) relating to the management of Spawning Aggregations (SPAG) in the maritime waters of “*Contracting Parties*” (5 Actions).
- Annex 3.IV.07 : coastal State Measures (cSM) relating to the fishing licensing of individual fishers (4 Actions).
- Annex 3.IV.08 : flag State Responsibilities (fSR) relating to the fishing licensing of fishing vessels (4 Actions).
67. To the extent possible, the Guideline follows the “*IPOA-IUU*” principles and structure reflecting phased implementation ^(DID3020, Article 9.2) and the special requirements of developing Countries ^(DID3020, Section 5). “*Contracting Parties*” Djibouti, Somalia, Sudan and Yemen are identified as Least Developed Countries (LDCs)²⁵. The following should also be taken into consideration when PERSGA NPOA-IUU Action Planning.
68. **Developing “PERSGA NPOA-IUU”** : Developing and implementing “*PERSGA NPOA-IUU*” are two different things. This guideline supports the **developing** of “Contracting Party” “*PERSGA NPOA-IUU*”.
69. **Voluntary** : The draft “*PERSGA RPOA-IUU*” ^(DID5259) and the “*PERSGA NPOA-IUU*” to be developed using this Guideline are voluntary as is the case with the “*IPOA-IUU*” ^(DID3020). However, every effort should be made to obtain statutory recognition in support of delivery.
70. **Grievance (redress) Mechanism** : Action planning and action delivery should operate against a defined “*Grievance (redress) Mechanism*”.
71. **Compliance** : To the extent possible, and to maximise consistency across the region, the “*PERSGA NPOA-IUU*” developed using this Guideline should comply with the “*PERSGA RPOA-IUU*” ^(DID5259).
72. **Understand terms** : Read and understand the terms in “*italics*” used in this Guideline, listed in Section II of this Guideline, and based on the terms used in the draft “*PERSGA RPOA-IUU*” ^(DID5259).

²⁵ <https://unctad.org/topic/least-developed-countries/list>

73. To the extent possible the implementation of all agreed recommended actions should be with the technical guidance and other relevant support of the “*Organization*” (PERSGA).
74. The proposed “*PERSGA RPOA-IUU*”, and “*PERSGA NPOA-IUU*” Actions are expected to be delivered over an initial 5 year period.

IV.02 Identify the Competent (National) Authority

75. The participation of the “*Competent (National) Authority*” is specified under “*PERSGA RPOA-IUU*” Action IV.02.01 ^(DID5259) and listed as a proposed “*PERSGA NPOA-IUU*” Action in Annex 3 below.
76. Each “*PERSGA NPOA-IUU*” should be developed by each “*Competent (National) Authority*” as notified by the “*Contracting Party*” following any decision by the “*Organization*” “*Council*”.
77. The PERSGA SFISH IUU National Consultant should:
01. Request PERSGA to confirm the “*National Authority*” as defined
 02. Request the “*National Authority*” to identify the “*Competent (National) Authority*” as defined.
 03. Request the “*National Authority*” to notify the “*Competent (National) Authority*” of the proposed development of the “*PERSGA NPOA-IUU*” based on direction from the “*Council*” and for the “*Competent (National) Authority*”, accordingly, to work with the national consultant to develop the “*PERSGA NPOA-IUU*” in voluntary, or if agreed by the “*Council*” and the “*Contracting Party*”, formal compliance with the “*PERSGA RPOA-IUU*”.

IV.03 Form Consultative (National) Committee

78. The “*Competent National Authority*” or, if appropriate, a higher authority should form a “*PERSGA NPOA-IUU Consultative (National) Committee*” according to “*PERSGA RPOA-IUU*” Action IV.02.04 ^(DID5259), and listed as a proposed “*PERSGA NPOA-IUU*” Action in Annex 3.IV.02.04 below, to oversee the development of the “*PERSGA NPOA-IUU*”.
79. The “*Competent (National) Authority*” should, with the assistance of the PERSGA SFISH IUU national Consultant, act as “*PERSGA NPOA-IUU*” Secretariat to the “*PERSGA NPOA-IUU Consultative (National) Committee*”.

IV.04 NPOA-IUU Action Planning

80. The “*PERSGA NPOA-IUU Consultative (National) Committee*”, with support from its Secretariat, should complete the “*PERSGA NPOA-IUU*” Action Planning table (available as an excel) presented at Annex 3 below, in compliance with the “*PERSGA RPOA-IUU*” Action Planning table in Annex 3 of the “*PERSGA RPOA-IUU*” ^(DID5259).

81. The excel has shaded and unshaded boxes. The shaded boxes are locked and auto-calculate any figures presented in the unshaded boxes. Notes may be added to any unshaded cell to act as an aide memoir when drafting the “*PERSGA NPOA-IUU*”.

01. **Ranking (prioritization)** : The “*NPOA-IUU Consultative (National) Committee*”, with assistance from the “*PERSGA NPOA-IUU*” Secretariat, should rank (prioritize) the 54 Actions specified in Annex 3 below by scoring each action between 0 (no priority) to 10 (maximum priority). Any available budget should be assigned from highest to lowest priority until all the budget is assigned.

02. **% delivered** : Where an Action has been, or is being, addressed nationally, this should be noted in the description of the Action as an estimate of percent delivered and commented on against the Action.

03. **Schedule** : Indicative schedule for delivering the specified action by year over the 5 year timeline proposed for delivery of each “*PERSGA NPOA-IUU*”.

04. **Responsibility/beneficiary** : Indicate the parties responsible for delivering and receiving the Action²⁶.

05. **Budget**²⁷ : For 5 years in \$US equivalent for each of the following: (1) Guidance (preparation of materials and technical support etc); (2) Legislation (drafting of legislation); (3) Travel and Expenses (for Committees, minor surveys etc); (4) Training (for training activities); (5) Goods Cost of equipment, infrastructure, large studies/demonstration pilots, etc; (6) M&E (Monitoring and evaluation); (7) Total; (9) % total.

06. **Direction** : The “*NPOA-IUU Consultative (National) Committee*” should provide direction on each proposed Action either as a consensus direction or giving the direction of each Committee member. Where the “*NPOA-IUU Consultative (National) Committee*” agrees an Action with reservations then the reservation should be specified in the “*PERSGA NPOA-IUU*” (see table of contents at Annex 4 below).

IV.05 PERSGA NPOA-IUU Drafting

82. **“*PERSGA NPOA-IUU*” Drafting** : The completed table at Annex 3 below should be used to inform the detailed narrative of the draft “*PERSGA NPOA-IUU*”. The “*PERSGA NPOA-IUU*” format should follow the same format and in voluntary, or formal, compliance, as appropriate with the “*PERSGA RPOA-IUU*” ^(DID5259), see Annex 4 below.

²⁶ **Regional** : Organization (Council, General Secretariat, Committee for the Settlement of Disputes, Contracting Parties. **National** : Competent (national) Authority vs National Authority, Private Sector, Civil Society, Consultants

²⁷ The “*PERSGA RPOA-IUU*” ^(DID5259) Annex 3 contains an indicative budget which covers various Actions including “*PERSGA NPOA-IUU*” Actions. Reference can be made to this indicative budget when proposing budget for “*PERSGA NPOA-IUU*” Actions although neither budget is confirmed.

II.06 PERSGA NPOA-IUU Validation

83. **“PERSGA NPOA-IUU” Validation** : The “*PERSGA NPOA-IUU Consultative (National) Committee*” should solicit consultative review and feedback on the draft “*PERSGA NPOA-IUU*” and, if agreed by the “*PERSGA NPOA-IUU Consultative (National) Committee*”, the draft “*PERSGA NPOA-IUU*” may be revised to reflect this feedback and, where appropriate, reservations noted (see table of contents at Annex 4 below).

II.07 PERSGA NPOA-IUU Approval

84. **Approval** : Each “*PERSGA NPOA- IUU*” relates to the management of living marine resources that are presumed to be held in trust by the National Legislature. The validated draft “*PERSGA NPOA-IUU*” should be submitted, by the “*Competent (National) Authority*” for formal consideration by the National Legislature. The outcome of this submission should be used to determine its formal, or informal, application.
85. **Status** : The status of each “*PERSGA NPOA-IUU*” should be registered, and a definitive copy placed, within the National Archive of the respective “*Contracting Party*”.
86. **Notification to the “Council”** : The status and a definitive copy of each “*PERSGA NPOA-IUU*” should be notified to the “*Organization*” “Council” (PERSGA).

V. SPECIAL REQUIREMENTS OF DEVELOPING COUNTRIES

87. Four of the seven “Contracting Parties” (Djibouti, Somalia, Sudan, and Yemen) are least developed countries (LDCs)²⁸.
88. 12 proposed “*PERSGA RPOA-IUU*” (DID5259) Actions are listed as proposed “*PERSGA NPOA-IUU*” Actions to be delivered reflecting the special requirements of developing countries. Key Actions, from the 54 listed, include:
01. “*Capacity building (National)*” - Annex 3.IV.02.01
 02. “*Capacity building (Regional)*” - Annex 3.IV.02.02
 03. “*Delivery of Actions (National)*” – Annex 3.IV.02.04
 04. “*Delivery of Actions (Regional)*” – Annex 3.IV.02.05
 05. “*Database IUU fishing (Regional)*” – Annex 3.IV.02.06
 06. “*Funding for IUU Fishing Management*” – Annex 3.IV.02.07
 07. “*Service Delivery Targets*” – Annex 3.IV.02.18
 08. “*Stakeholder Governance/Grievance*” – Annex 3.IV.02.19
 09. “*Executive Regulations for IUU Fishing*” at the national level – Annex 3.IV.04.02
 10. “*port State Measures – Infrastructure*” – Annex 3.IV.05.01
 11. “*Fishing License for All Fishers*” – Annex 3.IV.07.02 including licensing conditions
 12. “*Fishing License for All fishing Vessels*” Annex 3.IV.08.02 including licensing conditions

²⁸ <https://unctad.org/topic/least-developed-countries/list>

Note: The list of priority Actions for LDCs comprises the list of priority operational, and operational support, Actions listed at IV.01 above plus “*port State Measures – Infrastructure*” – Annex 3.IV.05.01.

VI. REPORTING

89. The reporting Actions of the “*PERSGA NPOA-IUU*” should be presented based on the requirements specified under “*PERSGA RPOA-IUU*” Action IV.02.14 ^(DID5259), and listed as a proposed “*PERSGA NPOA-IUU*” Action in Annex 3.IV.02.14 below.

VII. ROLE OF FAO

90. The “*Organization*” is a Regional Fisheries Body (RFB)²⁹ within the FAO “*IPOA-IUU*” framework. The “*Organization*” should, to the extent possible, coordinate the implementation of “*PERSGA RPOA-IUU*” and “*PERSGA NPOA-IUU*” with FAO recognising that the Code of Conduct for Responsible Fisheries (CCRF) ^(DID2571, DID5241), the IPOA-IUU, RPOA-IUU, and NPOA-IUU frameworks are instruments of FAO.

²⁹ <https://www.fao.org/fishery/en/rfb>

ANNEXES

ANNEX 1 : ACRONYMS

Acronym	Acronym text	Source
BBNJ	Biodiversity Beyond National Jurisdiction (UNCLOS)	DID5177
CBD	Convention on Biological Diversity	DID121
CCRF	Code of Conduct for Responsible Fisheries	DID2571, DID5241
cSM	Coastal State Measures	DID3020
DID	Document ID	
EEZ	Exclusive Economic Zone	DID261
FAO	Food and Agriculture Organization	
FCCC	Framework Convention on Climate Change	DID1977
FFL	Fisher Fishing License	DID5259
FSA	Fish Stocks Agreement	DID5045
fSR	flag State Responsibilities	DID3020
GBF	Global Biodiversity Framework	DID4979
IMO	International Maritime Organization	
IPOA-IUU	International Plan of Action (IUU Fishing)	DID3020
IUU	Illegal, Unreported and Unregulated	DID3020
LDC	Least Developed Country	
NPOA-IUU	National Plan of Action (IUU fishing)	
PA	Protected Area (See BPA)	
PAD	SFISH Project Appraisal Document	DID4887
PAI	Public Access to Information	DID3246 SDG 16
PEA	Political Economic Analysis	DID5267
PERSGA	Regional Organisation for the Conservation of the Environment of the Red Sea and Gulf of Aden	DID2557
pSM	Port State Measures	DID3020
pSMA	port State Measures Agreement	DID4246
RFB	Regional Fisheries Body	³⁰
RFMO	Regional Fisheries Management Organization	
RPOA-IUU	Regional Plan of Action (IUU Fishing)	DID5259
SCP	Special Conservation Plans	
SDG	Sustainable Development Goal	DID3246
SFISH	Program on Sustainable Fishery Development in Red Sea and Gulf of Aden	
SPAG	Spawning Aggregation	DID5012
UN	United Nations	
UNCLOS	United Nations Convention on the Law of the Sea	DID261
VFL	Vessel Fishing License	DID5259
WB	World Bank	
WECAFC	Western Central Atlantic Fishery Commission	DID5012
WTO	World Trade Organization	

³⁰ <https://www.fao.org/fishery/en/rfb>

ANNEX 2 : REFERENCES

DID	Long title	Ecopy
5259	Dawson Shepherd (2024). PERSGA RPOA-IUU Draft Final 11 th October 2024. Developing action plans and promoting capacities for management of IUU fishing, fish spawning aggregations and fishery ByCatch in the PERSGA Region. Sustainable Fishery Development in the Red Sea and Gulf of Aden (SFISH). P178143. SFISH/IC01/2023. Regional Organisation for the Conservation of the Environment of the Red Sea and Gulf of Aden (PERSGA). World Bank (WB) (DID5259).	Yes
5161	Dawson Shepherd A., (2023). IUU Assessment Methodology Guidelines Draft V5.0. October 31st, 2023. Lead Status Assessments, developing action plans and promoting capacities for management of IUU fishing, fish spawning aggregations and fishery ByCatch in the PERSGA Region. Sustainable Fishery Development in the Red Sea and Gulf of Aden (SFISH). P178143. SFISH/IC01/2023. Regional Organisation for the Conservation of the Environment of the Red Sea and Gulf of Aden (PERSGA). World Bank (WB).	Yes
5207	Dawson Shepherd, A.R., (2024). IUU Fishing Assessment PERSGA Region V3 Final Draft 20th August 2024. Developing action plans and promoting capacities for management of IUU fishing, fish spawning aggregations and fishery ByCatch in the PERSGA Region. Sustainable Fishery Development in the Red Sea and Gulf of Aden (SFISH). P178143. SFISH/IC01/2023. Regional Organisation for the Conservation of the Environment of the Red Sea and Gulf of Aden (PERSGA). World Bank (WB) (DID5207).	Yes
5271	Dawson Shepherd, A.R., (2024). PERSGA NPOA-IUU drafting Guideline. Draft Final 11 th October 2024. Developing action plans and promoting capacities for management of IUU fishing, fish spawning aggregations and fishery ByCatch in the PERSGA Region. Sustainable Fishery Development in the Red Sea and Gulf of Aden (SFISH). P178143. SFISH/IC01/2023. Regional Organisation for the Conservation of the Environment of the Red Sea and Gulf of Aden (PERSGA). World Bank (WB) (DID5271).	Yes
4887	De Silva,Darshani; Project Appraisal Document (PAD) - Program on Sustainable Fishery Development in Red Sea and Gulf of Aden (SFISH) - P178143 (English). 10th May 2022. Washington, D.C. : World Bank Group. http://documents.worldbank.org/curated/en/099435105112220262/P1781430feb1960f0802f0734a498c27e5	Yes
2571	FAO (1995). Code of Conduct for Responsible Fisheries Rome, FAO. 1995. 41 p. ftp://ftp.fao.org/docrep/fao/005/v9878e/v9878e00.pdf	Yes
5241	FAO (1999). The Rome Declaration on the Implementation of the Code of Conduct for Responsible Fisheries. Adopted by the FAO Ministerial Meeting on Fisheries. Rome, 10-11 March 1999. Food and Agricultural Organization of the United Nations (FAO). https://www.fao.org/4/X2220E/X2220E00.htm	Yes
3020	FAO (2001). International Plan of Action to prevent, deter and eliminate illegal, unreported and unregulated fishing. Rome, FAO. 2001. 24p https://openknowledge.fao.org/server/api/core/bitstreams/a80c3bfb-1d5b-4ee6-9c85-54b7e83986a2/content	Yes
2786	FAO (2002). Fishery Country Profile. Yemen. FID/CP/YEMEN. February 2002. Food and Agricultural Organisation of the United Nations. Ftp://ftp.fao.org/FI/DOCUMENT/fcp/en/FI_CP_YE.pdf	Yes
2407	FAO (2003). Fisheries management. 4 supplement 2. Ecosystem approach to fisheries. FAO Technical Guidelines for Responsible Fisheries. No. 4, Suppl. 2. Rome, FAO. 2003. 112 p. Ftp://ftp.fao.org/docrep/fao/005/y4470e/y4470e00.pdf	Yes
2909	FAO (2013). Fishery and Aquaculture Country Profiles. Egypt, 2013. Country Profile Fact Sheets. Fisheries and Aquaculture Division [online]. Rome. Updated Feb 27, 2013 [Cited Tuesday, February 21st, 2023]. https://www.fao.org/fishery/en/facp/egy?lang=en	Web
5061	FAO (2013). Somalia Fisheries Fact Sheet. Food and Agriculture Organization of the United Nations (FAO). https://www.fao.org/3/as789e/as789e.pdf	Yes

DID	Long title	Ecopy
4246	FAO (2016). Agreement on Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing. FAO, UN. http://www.fao.org/3/i5469t/I5469T.pdf , https://faolex.fao.org/treaty/docs/tre000003E.pdf	Yes
2793	FAO (2016). Fishery and Aquaculture Country Profiles. Djibouti, 2016. Country Profile Fact Sheets. Fisheries and Aquaculture Division [online]. Rome. Updated Dec 7, 2016 [Cited Sunday, February 19th, 2023]. https://www.fao.org/fishery/en/facp/dji?lang=en	Web
5059	FAO (2017). Fishery and Aquaculture Country Profiles. Saudi Arabia, 2003. Country Profile Fact Sheets. Fisheries and Aquaculture Division [online]. Rome. Updated Aug 25, 2017 [Cited Wednesday, February 22nd, 2023]. https://www.fao.org/fishery/en/facp/sau?lang=en also https://www.fao.org/fishery/docs/DOCUMENT/fcp/en/FI_CP_SA.pdf dated 2003	Web 2017, pdf 2003
2792	FAO (2019). Fishery and Aquaculture Country Profiles. Jordan, 2019. Country Profile Fact Sheets. Fisheries and Aquaculture Division [online]. Rome. Updated Jan 17, 2013 [Cited Tuesday, February 21st, 2023]. https://www.fao.org/fishery/en/facp/jor?lang=en	Web
2787	FAO (2020). Fishery and Aquaculture Country Profiles. Sudan, 2019. Country Profile Fact Sheets. Fisheries and Aquaculture Division [online]. Rome. Updated Mar 27, 2020 [Cited Wednesday, February 22nd, 2023]. https://www.fao.org/fishery/en/facp/sdn?lang=en	Web
5012	FAO (2022). Draft Regional Fish Spawning Aggregation Fishery Management Plan: Focus on Nassau Grouper and Mutton Snapper (FSAMP). WESTERN CENTRAL ATLANTIC FISHERY COMMISSION (WECAFC). Food and Agriculture Organization of the United Nations (FAO). https://www.fao.org/3/cc2977en/cc2977en.pdf	Yes
5016	FAO. International Guidelines on Bycatch Management and Reduction of Discards. Rome/Roma, FAO. 2011. 73 pp. https://www.fao.org/3/ba0022t/ba0022t.pdf	Yes
2557	PERSGA (1982). Jeddah Convention. Regional Convention for the Conservation of the Red Sea and Gulf of Aden Environment. http://www.persga.org/Documents/Doc_62_20090211112825.pdf	Yes
2569	PERSGA (2018). Draft Protocol Concerning Regional Cooperation in Management of Fisheries and Aquaculture in the Red Sea and Gulf of Aden (Final English Cleared, 28th February 2018). The Regional Organization for the Conservation of the Environment of the Red Sea and Gulf of Aden PERSGA.	Yes
5265	PERSGA (2024). Email Response to request for clarifications from SFISH IC01/2023 concerning RFMO, RFB etc. Regional Organization for the Conservation of the Environment of the Red Sea and Gulf of Aden (PERSGA).	Yes
3246	Sustainable Development Knowledge Platform. https://sdgs.un.org/goals	Web
5045	UN (1982). The United Nations Agreement for the Implementation of the Provisions of the United Nations Convention on the Law of the Sea of 10 December 1982 relating to the Conservation and Management of Straddling Fish Stocks and Highly Migratory Fish Stocks. https://treaties.un.org/doc/Treaties/1995/08/19950804%2008-25%20AM/Ch_XXI_07p.pdf	Yes
261	UN (1992). United Nations Convention on the Law of the Sea (UNCLOS). Montego Bay, 10 December 1982. http://www.un.org/depts/los/index.htm , http://www.un.org/depts/los/convention_agreements/texts/unclos/unclos_e.pdf , https://treaties.un.org/doc/Treaties/1994/11/19941116%2005-26%20AM/Ch_XXI_06p.pdf	Yes
5177	UN (2023). Agreement under the United Nations Convention on the Law of the SEA on the Conservation and Sustainable Use of Marine Biological Diversity of Areas Beyond National Jurisdiction. United Nations. https://treaties.un.org/doc/Publication/CTC/Ch_XXI_10.pdf https://treaties.un.org/Pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XXI-10&chapter=21&clang=en	Yes
4979	UN CBD (2022). Kunming-Montreal Global biodiversity framework. Decision CBD/COP/15/4. 19 December 2022. https://www.cbd.int/doc/decisions/cop-15/cop-15-dec-04-en.pdf	Yes

DID	Long title	Ecopy
1977	UN FCCC (1992). United Nations Framework Convention on Climate Change. United Nations. Http://unfccc.int/resource/docs/convkp/conveng.pdf , https://treaties.un.org/doc/Treaties/1994/03/19940321%2004-56%20AM/Ch_XXVII_07p.pdf	Yes
121	UN CBD (1992). Convention on Biological Diversity with Annexes. Concluded at Rio de Janeiro on 5th June 1992 http://www.cbd.int/doc/legal/cbd-un-en.pdf , https://treaties.un.org/doc/Treaties/1992/06/19920605%2008-44%20PM/Ch_XXVII_08p.pdf	Yes

ANNEX 3 : “PERSGA NPOA-IUU” Action Planning table.

The following table supports “PERSGA NPOA-IUU” Action Planning. It is also available as an excel. The format matches that for the “PERSGA RPOA-IUU” (DID5259, Annex 3) which can be used for reference, and which contains an indicative, but unconfirmed, budget for “PERSGA RPOA-IUU” and “PERSGA NPOA-IUU” Actions. Cells in grey are locked in the excel version. Where they relate to numeric totals they are auto calculated from the figures entered in the unshaded cells. The table comprises the following fields:

01. **Ranking** : a column for ranking of each proposed Action (0 = no importance to 10 = maximum importance) by the relevant Regional “PERSGA RPOA-IUU” and National “PERSGA NPOA-IUU” Consultative Committees in support of prioritizing actions for the “PERSGA RPOA-IUU” and “PERSGA NPOA-IUU”.
02. **% done** : A column for indicating the percentage extent to which a proposed action has already been delivered.
03. **Schedule** : an indicative annual schedule of major and minor inputs in support of the specified actions for a proposed 5 year implementation.
04. **Responsibilities** : IUU fishing Stakeholder responsibility (major and minor):

Organization

- 04.01. the “Council”
- 04.02. the “General Secretariat”
- 04.03. the “Committee for the Settlement of Disputes”
- 04.04. the “Contracting Parties”

National

- 04.05. the “Competent (National) Authorities” for each of the “Contracting Parties”
- 04.06. the private sector
- 04.07. civil society

Consultants

04.08. “*PERSGA RPOA-IUU*” and “*PERSGA NPOA-IUU*” implementation contractors.

05. **Actions Budget** : An indicative budget for each proposed action broken down as follows:

05.01. **Guidance** : Provision of guidance standards/training materials etc

05.02. **Legislation** : Support for review, drafting and processing of legislation

05.03. **Travel and expenses** : Costs for travel and expenses

05.04. **Training** : Costs for capacity building training

05.05. **Goods/Pilots etc** : Cost of equipment, infrastructure, large studies/demonstration pilots, etc

05.06. **Monitoring and Evaluation** : Costs for monitoring and evaluating *PERSGA RPOA-IUU/NPOA-IUU* delivery.

05.07. **Total indicative cost** for the action

05.08. **% of total cost**

06. **Direction** : An opportunity for “*PERSGA RPOA-IUU*” and “**PERSGA NPOA-IUU**” developers to seek direction from relevant stakeholders as to the proposed Actions. A direction exercise was undertaken in support of the *PERSGA* Region IUU fishing Assessment and was broadly supportive ^(DID5207).

The proposed “*PERSGA RPOA-IUU*” ^(DID5259) gives an indicative budget for delivery in its Annex 3 which includes some support for proposed “*PERSGA NPOA-IUU*” Actions. The indicative budget can be considered when preparing each “*PERSGA NPOA-IUU*” using the template shown below and available as an excel.

Country:		Date:		Number of male Participants*:		Number of female Participants*:		*Please register/list participants.																						
Sub-sect	PROPOSED ACTIONS (IV.01 is an Introduction, not an Action)	01 RANK SCORE (0-10)	02 % done	03 SCHEDULE						04 RESPONSIBILITY/BENEFICIARY								05 ACTIONS BUDGET								06 DIRECTION				
				Year						"Organization"				National x 7				SUS (5 years)												
				2026	2027	2028	2029	2030	01	02	03	04	05	06	07	08	01	02	03	04	05	06	07	08	Yes	No	Res	Abs	All	
				08	%	01	02	03	04	05	06	07	08	Guidance	Legis- lation	Travel/ Expenses	Training	Goods/ Pilots	M&E	Total	%									
IV.02	cSM (cross-cutting)		0																											
IV.02.01	Capacity Building (National)																													
IV.02.02	Capacity Building (Regional)																													
IV.02.03	Certification (IUU Fishing)																													
IV.02.04	Delivery of Actions (National)																													
IV.02.05	Delivery of Actions (Regional)																													
IV.02.06	Database IUU Fishing (Regional)																													
IV.02.07	Funding for IUU Fishing Management																													
IV.02.08	Good Practice Examples IUU Fishing																													
IV.02.09	Internal Waters																													
IV.02.10	Issues IUU Fishing																													
IV.02.11	PERSGA NPOA-IUU Adoption																													
IV.02.12	Political and Economic Analysis of IUU																													
IV.02.13	Pollution Control																													
IV.02.14	Reporting																													
IV.02.15	Research																													
IV.02.16	PERSGA RPOA-IUU Adoption																													
IV.02.17	RPOA/NPOA-SCP (Special Cons Plans)																													
IV.02.18	Service Delivery Targets																													
IV.02.19	Stakeholder Governance/Grievance																													
IV.02.20	ByCatch and Discards Management																													
IV.02.21	Fisheries Statistics & Stock Assessment																													
IV.02	Sub-total:	0	0																											

Sub-sect	PROPOSED ACTIONS	01 RANK SCORE (0-10)	02 % done	03 SCHEDULE					04 RESPONSIBILITY/BENEFICIARY								05 ACTIONS BUDGET								06 DIRECTION				
				Year					"Organization"				National x 7				CO	SUS (5 years)											
				2026	2027	2028	2029	2030	01	02	03	04	05	06	07	08	01	02	03	04	05	06	07						
									CN	GS	CD	CP	CA	PS	CS	CO	Guidance	Legis- lation	Travel/ Expenses	Training	Goods/ Pilots	M&E	Total						
IV.03	Internatn Instruments (Legal)		0																		0	0.00					0		
IV.03.01	PERSGA Ex-Situ Biodiv Draft Protocol																				0	0.00					0		
IV.03.02	PERSGA Fish and Aqua Draft Protocol																				0	0.00					0		
IV.03.03	PERSGA PAs Protocol Annexes																				0	0.00					0		
IV.03.04	PERSGA PAtd Draft Protocol																				0	0.00					0		
IV.03.05	UN CBD Aichi																				0	0.00					0		
IV.03.06	UN CBD Kunning Montreal GBF																				0	0.00					0		
IV.03.07	UNCLOS BBNJ, FSA																				0	0.00					0		
IV.03.08	UN FAO Compliance Agreement																				0	0.00					0		
IV.03.09	UN FAO pSM Agreement																				0	0.00					0		
IV.03.10	UN FCCC Loss and Damage																				0	0.00					0		
IV.03.11	UN IMO MARPOL 73/78 Annexes																				0	0.00					0		
IV.03.12	UN WTO Fishing Subsidies																				0	0.00					0		
IV.03	Sub-total:	0	0													0	0	0	0	0	0	0.00	0	0	0	0	0		
IV.04	National Legislation (Legal)																												
IV.04.01	Competent Authorities IUU Fishing		0																		0	0.00					0		
IV.04.02	Executive Regulations IUU Fishing																				0	0.00					0		
IV.04.03	Governance - Legal																				0	0.00					0		
IV.04	Sub-total:	0	0													0	0	0	0	0	0	0.00	0	0	0	0	0		

Sub-sect	PROPOSED ACTIONS	01 RANK SCORE (0-10)	02 % done	03 SCHEDULE Year					04 RESPONSIBILITY/BENEFICIARY								05 ACTIONS BUDGET SUS (5 years)								06 DIRECTION				
									"Organization"				National x 7				08 %	01 Guidance	02 Legis- lation	03 Travel/ Expenses	04 Training	05 Goods/ Pilots	06 M&E	07 Total	Yes	No	Res	Abs	All
				2026	2027	2028	2029	2030	01	02	03	04	05	06	07	08													
IV.05	port State Measures (pSM)								CN	GS	CD	CP	CA	PS	CS	CO													
IV.05.01	Infrastructure		0																					0	0.00				0
IV.05.02	IUU Fishing Management - Mandate																							0	0.00				0
IV.05.03	IUU Fishing Management - License																							0	0.00				0
IV.05.04	IUU Fishing Management - Regulations																							0	0.00				0
IV.05.05	IUU Fishing Management - Plan																							0	0.00				0
IV.05	Sub-total:	0	0															0	0	0	0	0	0	0	0.00	0	0	0	0
IV.06	cSM (SPAG)																												
IV.06.01	SPAG - Competent National Authority		0																					0	0.00				0
IV.06.02	SPAG - Define as a National Asset Type																							0	0.00				0
IV.06.03	SPAG - National Survey																							0	0.00				0
IV.06.04	SPAG - Designation of SPAG Areas																							0	0.00				0
IV.06.05	SPAG - IUU Fishing "Issues" Manag Plan																							0	0.00				0
IV.06	Sub-total	0	0															0	0	0	0	0	0	0	0.00	0	0	0	0

Sub-sect	PROPOSED ACTIONS	01 RANK SCORE (0-10)	02 % done	03 SCHEDULE Year					04 RESPONSIBILITY/BENEFICIARY								05 ACTIONS BUDGET SUS (5 years)								06 DIRECTION				
				2026	2027	2028	2029	2030	01	02	03	04	05	06	07	08	01	02	03	04	05	06	07	08	Yes	No	Res	Abs	All
									CN	GS	CD	CP	CA	PS	CS	CO	Guidance	Legis- lation	Travel/ Expenses	Training	Goods/ Pilots	M&E	Total	%					
IV.07	cSM (Stakeholder fish licence)																												
IV.07.01	FFL Competent (National) Authority		0																				0	0.00					0
IV.07.02	Fishing License for <u>All</u> Fishers																						0	0.00					0
IV.07.03	Fisher Fishing License (FFL) Framework																						0	0.00					0
IV.07.04	FFL Exec Regs (Report & Regulate)																						0	0.00					0
IV.07	Sub-total:	0	0														0	0	0	0	0	0	0	0.00	0	0	0	0	0
IV.08	fSR (Vessel fishing license)																												
IV.08.01	VFL Competent (National) Authority		0																				0	0.00					0
IV.08.02	Fishing License for <u>All</u> Fishing Vessels																						0	0.00					0
IV.08.03	Vessel Fishing License (VFL) framework																						0	0.00					0
IV.08.04	VFL Exec Regs (Report & Regulate)																1						1	71.43					0
IV.08	Sub-total:	0	0														1	0	0	0	0	0	1	71.43	0	0	0	0	0
Total:		0	0														1	0	0	0	0	0	1	71.43	0	0	0	0	0
Contingency @ 10%																							0	7.14					
Overhead @ 30%																							0	21.43					
Total including contingency & overhead																							1	100					
Total %																	100	0	0	0	0	0		100					

Key	Major input	Ma	Minor input	Mi	Cross-costed	CC	>3% budget	%	Locked cells
CN = Council (Organization). GS = General Secretariat (Organization). CD = Committee for the Settlement of Disputes (Organization). CP = Contracting Parties (Organization). CA = Competent (National) Authority for IUU. PS = Private Sector. CC = Civil Society. CO = Consultant. M&E = Monitoring and Evaluation. fSR = flag State Responsibilities.									
Disclaimer : The direction category checked above reflects the opinion of the respective Country Competent Authority. It does not reflect the opinion of any other Party. The direction informs the preparation of the PERSGA Region Plan of Action (RPOA-IUU) for IUU fishing, and the respective Country National Plans of Action (NPOA-IUU) for IUU fishing.									
Direction : Please cross your "Direction" reflecting the assessment of the listed Action. "Yes" agree. "No" not necessary/do not agree. "Res" Agree with reservations. "Abs" Abstain (cannot provide direction). If you have reservations/comments please append them against the Action number.									
Governance - Legal (IV.04.03) : The validity of a Country Fisheries Law or regulation in terms of its issuing authority and application. For example, the Somali Fisheries Law (008/2023) and Constitutional authority and Sudanese Red Sea State Marine Fisheries Law 2016 under Marine Fisheries Ordinance of 1937.									

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WORLD BANK GROUP
Environment, Natural Resources & Blue Economy